

## **EXHIBIT A**

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UNITED STATES PATENT AND TRADEMARK OFFICE  
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<b>Title of Invention</b>	<b>SYSTEM AND METHOD FOR CREATING TRADEABLE FINANCIAL UNITS</b>																																					
<b>Submission Type:</b>	Utility Patent Filing																																					
<b>Application Number:</b>	10/709329	*10/709329*																																				
<b>EFS ID:</b>	60030																																					
<b>Server Response:</b>	<table border="1"> <tr> <th>Confirmation Code</th> <th>Message</th> </tr> <tr> <td>ISVR1</td> <td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td> </tr> <tr> <td>ICON1</td> <td>3328</td> </tr> <tr> <td>WUTL2</td> <td>Filename= 201071200838US1-pkda.xml BusinessRule= The utility patent submission does not include at least one declaration.</td> </tr> </table>		Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	ICON1	3328	WUTL2	Filename= 201071200838US1-pkda.xml BusinessRule= The utility patent submission does not include at least one declaration.																												
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<b>First Named Applicant:</b>	Earl Rotman																																					
<b>Attorney Docket Number:</b>	20107/1200838-US1																																					
<b>Timestamp:</b>	2004-04-28 17:46:01 EDT*																																					
<b>From:</b>	us																																					
<b>File Listing:</b>	<table border="1"> <tr> <th>Doc. Name</th> <th>File Name</th> <th>Size (Bytes)</th> </tr> <tr> <td>application-body</td> <td>201071200838US1-usappb.xml</td> <td>66757</td> </tr> <tr> <td>application-body</td> <td>application-body.dtd</td> <td>49498</td> </tr> <tr> <td>application-body</td> <td>us-application-body.xsl</td> <td>83497</td> </tr> <tr> <td>application-body</td> <td>mathml2.dtd</td> <td>54588</td> </tr> <tr> <td>application-body</td> <td>soextblx.dtd</td> <td>12870</td> </tr> <tr> <td>application-body</td> <td>isoamsa.ent</td> <td>5191</td> </tr> <tr> <td>application-body</td> <td>isoamsb.ent</td> <td>3988</td> </tr> <tr> <td>application-body</td> <td>isoamsc.ent</td> <td>1460</td> </tr> <tr> <td>application-body</td> <td>isoamsn.ent</td> <td>5620</td> </tr> <tr> <td>application-body</td> <td>isoamsso.ent</td> <td>1934</td> </tr> <tr> <td>application-body</td> <td>isoamsr.ent</td> <td>7073</td> </tr> </table>		Doc. Name	File Name	Size (Bytes)	application-body	201071200838US1-usappb.xml	66757	application-body	application-body.dtd	49498	application-body	us-application-body.xsl	83497	application-body	mathml2.dtd	54588	application-body	soextblx.dtd	12870	application-body	isoamsa.ent	5191	application-body	isoamsb.ent	3988	application-body	isoamsc.ent	1460	application-body	isoamsn.ent	5620	application-body	isoamsso.ent	1934	application-body	isoamsr.ent	7073
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